We are writing to tell you that you, or the worker whose Social Security number appears at the top of this form, MAY be entitled to some retirement benefits from a private employer. Also, your family, or the worker's family, may be entitled to retirement or survivor benefits.

**These Are Not Social Security Benefits**

These potential benefits are NOT Social Security benefits. We do not make any decisions about the payment of these benefits. Please see below for basic information about these retirement benefits.

**Information About Retirement Benefits**

You have, or the worker has, earned retirement benefits although no longer employed in a job covered by the retirement plan. These are called "deferred vested benefits." Private retirement plan administrators must provide information about such benefits to us through the Internal Revenue Service. We provide this reported information about the retirement plan when the individual asks for it or when a claim is filed for Social Security benefits. Social Security does not have any information about the benefits other than what appears below.

**If You Want to Apply for These Benefits**

If you want to apply for these retirement benefits or have any questions, you should contact the Plan Administrator shown below. The Plan Administrator provided the information as of the date in the "Year Reported" field below. If you or the worker has already filed a claim and received payment from the plan below, you may not be eligible for any additional benefits. Include a copy of this notice when you contact the Plan Administrator.

<table>
<thead>
<tr>
<th>Plan Name</th>
<th>Plan Number</th>
<th>Identification Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPAQ COMPUTER CORPORATION 401K</td>
<td>76-0011617-001</td>
<td></td>
</tr>
</tbody>
</table>

**Plan Administrator and Address**

COMPAQ COMPUTER CORPORATION INVESTMENT PLAN COMMITTEE
20555 SH 249
HOUSTON, TX 770700000

**Key**

- (old)

- Year Reported 2001
- Estimated Amount
- Type of Benefit A
- Payment Frequency A
- Units or Shares
- Value of Account

**IMPORTANT:** See the other side of this page for an explanation of this information.

For SSA Use Only: MM020-246-30-0
# EXPLANATION OF INFORMATION

**YEAR REPORTED**

This is the year for which the Plan Administrator reported retirement plan information to the Internal Revenue Service.

**ESTIMATED AMOUNT**

This was the amount that would be paid under the plan then in effect. The actual amount could differ. If you have already received payments from the plan, the amount shown on this notice should be disregarded.

**TYPE OF BENEFIT**

The code listed identifies the type of annuity to be paid:

- **A** A single payment of a lump sum
- **B** Annuity payable over a fixed number of years
- **C** Life annuity
- **D** Life annuity with period certain
- **E** Cash refund life annuity
- **F** Modified cash refund life annuity
- **G** Joint and last survivor annuity
- **M** Other (Type of annuity not reported)

**PAYMENT FREQUENCY**

The code listed shows how often the estimated payments would be made under the plan:

- **A** Lump Sum
- **B** Annually
- **C** Semi-Annually
- **D** Quarterly
- **E** Monthly
- **M** Other (Payment frequency not reported)

**UNITS OR SHARES**

If the retirement amount is based on units or shares the number of units or shares will be shown. The number of shares will be shown followed by “S.”

**VALUE OF ACCOUNT**

If shown, this indicates the value of the account at the time the worker stopped working under the plan or at the time the Plan Administrator reported the information.

**QUESTIONS OR COMPLAINTS**

If you have questions, you should contact the Plan Administrator. If you cannot locate the Plan Administrator, contact your former employer. If you cannot locate your former employer, check the reference department of your local library or contact your State’s corporation commission. This agency should be in the State Government section of the telephone directory.

The U.S. Department of Labor’s Employee Benefits Security Administration (EBSA) administers certain provisions of the Employee Retirement Income Security Act of 1974 (ERISA) and may be of assistance in locating your plan administrator, or if you have a complaint about the way the private retirement plan has handled your claim. More information about your rights under ERISA is available at [www.dol.gov/ebals/ssa/potentialprivate/](http://www.dol.gov/ebals/ssa/potentialprivate/). You may also contact an EBSA Benefits Advisor at 1(866)444-3272.